



US Patriot Act

Customer Identification Program Notice

Important Information You Need to Know About Opening a New Account

To help the government fight the funding of terrorism and money laundering activities, federal law requires financial institutions to obtain, verify and record information that identifies each entity that opens an account.

This Notice answers some of the questions about our Firm's Customer Identification Program. What types of information will you need to provide?

When you open an account, our Firm is required to collect information such as the following from you:

- Taxpayer Identification Number (Employer Identification Number)
- A corporation, partnership, trust or other legal entity will need to provide: address of principal place of business, local office (if applicable), certified articles of incorporation, government-issued business license, a partnership agreement, trust agreement or other corporate documents.
- Principals of the entity may be required to provide other identifying documents, if necessary
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U.S. Department of the Treasury, Securities and Exchange Commission, FINRA and the New York Stock Exchange Rules already require you to provide most of this information. These rules may also require you to provide additional information, such as investment experience, objectives and risk tolerance.

What happens if the information requested is not provided or identity can't be verified?

RenMac may not be able to establish an account or carry out transactions for you. If our Firm has already opened an account for you, we may have to close it.